

# EXHIBIT 9

0. \*

63,864.42 +

13.99 +

63,878.41 \*

63,878.41 ÷

83.875 =

761.59 \*

83.875 x

761. =

63,828.88 +I

63,828.88 x

0.025 =

1,595.72 \*

1,595.72 ÷

4. x

8. =

3,191.44 \*

3,191.44 +I

761. x

2.25 =

1,712.25 \*

1,712.25 x

0.03125 =

53.51 +I

67,073.83 ÷I

67,073.83 ÷

1,712.25 =

39.17 \*

39.25 x

1,712.25 =

67,205.81 -I

131.98-\*I

131.98-÷

0.125 =

1,055.84-\*

1,712.00 +

1,052.00 -

660.00 \*

MADTSS00401002

56 180.81

80

1/21 Rus Oil 2107.13	56 172.00	58 279.13	6 2 1/2 3/10 INA	<del>8.81</del>
3/13 INA	58 268.25	61 181.80	8 2 1/2 5/9	<del>19.69</del>
5/5 Heins 2676.92	61 187.50	63 864.42	7 2 1/2 7/11 Heins	<del>13.99</del>
6/30 Petrol 3191.88	63 828.88	67 020.76	8 2 1/2 9/11 Petrol	<del>49.53</del>
9/5 Amex 3350.40	67 008-	70 358.40	8 2 1/2 10/30 Amex	<del>62.29</del>
10/30 Rockwell 3520.66	70 404.75	73 925.41	8 2 1/2 12/31 Rockwell	15.94
12/31 Check Check (P)	10 000-	5000-	Tonison " "	

68 941.35

ICE - Actua Life  
+ Casualty 2 P/L  
761 @  $83\frac{7}{8} =$   
63828.88

1052  $39\frac{1}{8}$   $\frac{41159.50}{32.88}$   
 $\frac{41126.62}{}$

660  $39\frac{1}{4} = 25905 -$   
 $\frac{20.63}{}$   
7 = 9.77  
25884.37

6/23 B  
6/25 S  
1712.25

67020.76

8 -  $2\frac{1}{2}$   
3191.88

9/1

0. \*

61,201.49 ÷  
27.5 =  
2,225.51 \*

27.5 ×  
2,225. =  
61,187.50 +I  
61,187.50 ×  
0.025 =  
1,529.69 \*

1,529.69 ÷  
4. ×  
7. =  
2,676.96 \*

2,676.96 +I  
2,225. ×  
0.75 =  
1,668.75 \*

1,668.75 ×  
0.03125 =  
52.15 +I  
63,916.61 ÷I  
63,916.61 ÷  
1,668.75 =  
38.30 \*

38.375 ×  
1,668.75 =  
64,038.28 -I  
121.67 -\*I

121.67 -÷  
0.125 =  
973.36 -\*

1,668.00 +  
973.00 -  
695.00 \*



**Bernard L. Madoff**  
INVESTMENT SECURITIES

Established 1960  
110 Wall Street, New York, NY 10006

TELEPHONE (212) 825-3910  
P&S DEPT. (212) 825-3916  
TELETYPE (710) 581-3082  
TELEX 235130  
WATS (800) 221-2242

ORIGINAL OR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE
0646								4/28/80	5/5/80
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS		

REDACTED

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION						NET AMOUNT
580	2225		HEINZ 1.70 CONV PFD						61187.50

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	SEC.FEE	MISC.
27 1/2	61187.500					

MEMBERS

NASD NSCC SIAC DTC SIPC

COMPARISON DUPLICATE



**Bernard L. Madoff**  
INVESTMENT SECURITIES

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE
0646								4/30/80	5/7/80
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER	SPECIAL DELIVERY INSTRUCTIONS			

REDACTED

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION							NET AMOUNT
BOF	973		MELTZ							37126.84
PRICE		PRINCIPAL	COMMISSION	STATE TAX	INTEREST	SEC. FEE	MISC.			
30 1/4		37217.23		30.41						

MEMBERS

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE
0646								4/30/80	5/7/80
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS		

REDACTED

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION							NET AMOUNT
FOR GOR			HEINZ							26642.01
PRICE		PRINCIPAL	COMMISSION	STATE TAX	INTEREST	SEC. FEE	MISC.			
38 3/8		26670.63		21.72						

MEMBERS

NASD NSCC SIAC DTC SIPC

COMPARISON DUPLICATE





08-01789-cdm Dec 21 2006 15 Filed 03/22/22 Entered 03/22/22 18:09:54 PT. 2129825-3916  
INVESTMENT SECURITIES PG 9 of 12

Established 1960  
110 Wall Street, New York, NY 10005

TELEPHONE (212) 825-3910  
TELETYPE (710) 581-3082  
TELEX 235130  
WATS (800) 221-2242

WE HAVE THIS DAY Credited Your  
ACCOUNT WITH THE FOLLOWING:

NEW YORK, 5/7/80

FRACTIONAL SHARES HEINEZ

28.67

CLIENT'S ACCOUNT NUMBER

IRWIN & CAROLE LIPKIN

MADTSS00400992

ICE - Heinz

2225  $27\frac{1}{2}$  61.187.50

973  $38\frac{1}{4}$   $\frac{37217.25}{3041}$   

---

37186.84

695  $38\frac{3}{8} = 26670.63$   
21.72

---

26648.91

7 = 28,67



# Bernard L. Madoff

INVESTMENT SECURITIES

Established 1960  
110 Wall Street, New York, NY 10005

IN ACCOUNT WITH

TELEPHONE (212) 825-3910  
P & S DEPT. (212) 825-3916  
TELETYPE (710) 581-3082  
WATS (800) 221-2242

Ex. 9

REDAC  
TED

YOUR ACCOUNT NUMBER

YOUR TAX PAYER IDENTIFICATION NUMBER

PERIOD ENDING

12/31/80

PAGE

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
12/22	1202			BALANCE FORWARDED			56,180.81
12/22		653		RESERVE OIL & GAS	46 1/2	56,172.00	21,528.59
12/22		1110		RESERVE OIL & GAS COM	33 1/8		36,734.06
12/22		714		RESERVE OIL & GAS COM	29 7/8		16.40
12/22		1330		LNA CORP	30		21,308.44
12/22				LNA CORP			39,858.44
12/22				LNA CORP			14.92
12/22	2726			LNA CORP \$1.90 PFD	21 3/8	58,268.25	
12/22	2225			HEINZ \$1.70 PFD	27 1/2	61,187.50	
12/22		973		HEINZ COM	38 1/4		37,186.84
12/22		693		HEINZ COM	38 3/8		26,648.91
12/22				HEINZ COM			28.67
12/22	761			AEINA LIFE 82 PFD	83 7/8	63,828.88	
12/22		1052		AEINA LIFE COM.	39 1/8		41,126.62
12/22		660		AEINA LIFE COM.	39 1/4		25,884.37
12/22		521		AEINA LIFE COM.	51 1/4		9.77
12/22		850		AEINA INC.	51 3/8		26,684.97
12/22				AEINA INC.			43,642.19
12/22				AEINA INC.			31.24
12/22		1816		AEINA INC. 83. PFD	64	67,008.00	
12/22		350		ROCKWELL INTL	34 1/8		61,934.68
12/22				ROCKWELL INTL	34 1/4		11,980.50
12/22				ROCKWELL INTL			10.23
12/22	2697			ROCKWELL INTL \$1.00 PFD	20 1/4	78,604.75	
12/22				CHECK		10,000.00	
12/22				CHECK			5,000.00
12/22				Balance			68,941.35

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES.

HJ Henry 1.70	3 <sup>rd</sup> (P. 1)
	TP = .75 C
4/9/79 - 5/18 D	1P = 1.5
8/13/79 - 10/1 D	9/29 - 11/16
12/1/79 - 1/22 D	12/4/81 - 1/25/82
12/19/80 - 4/8 D	2/3/82 - 4/2/82
5/7/80 - 7/2	6/30/82 - 9/7/82
8/7/80 - 10/3	9/20/82 - 11/24/82
11/27/80 - 1/20/81	<del>4/2/84</del> - 4/24/84 - 7/3/84
3/4/81 - 5/5	8/8/84 - 10/11/84
7/30/81 - 9/16	